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| **S/A Ref:** |
| **Reporting Entity Ref:** |
| **Date (DD/MM/YY):** |

**FINANCIAL INTELLIGENCE AUTHORITY**

Suspicious Activity Report

## (In accordance with the Money Laundering (Prevention) Act)

**FINANCIAL INSTITUTION OR PERSON ENGAGED IN OTHER BUSINESS ACTIVITY**

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| 1. Name of financial institution or person engaged in other business activity: | |
| 1. Address of financial institution or person engaged in other business activity: | |
| 1. Telephone Number: | 1. Fax Number: |

**PARTICUALRS OF SUBJECT**

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| 1. Name (full name of person, business or company): |
| 1. Address: |
| 1. Date of Birth/Date of Incorporation:   (DD/MM/YY) |
| 1. Occupation/Nature of Business: |
| 1. Employer: |
| 1. Telephone Number – Business |
| 1. Telephone Number – Residence |
| 1. Form(s) of identification produced by subject (attach copies) |
| 1. Subject’s relationship with the financial institution or person engaged in other business activity |
| 1. Is subject employed by the financial institution or person engaged in other business activity? (YES/NO, if “Yes” give details) |
| 1. Other relevant information (please include details of identification or references taken, associated parties, addresses, telephone numbers, etc.) |
| 1. If this report is linked to other reports, please provide details: |

SUSPICIOUS TRANSACTION REPORT

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| 1. Reasons for suspicion: | |
| 1. Signed by (name of person compiling report): | 1. Contact Name (Reporting Officer/Compliance Officer, where applicable): |
| 1. Telephone Number: | 1. Fax Number: |

Notes: 1. Please complete a separate form in respect of each subject person, company or business.

2. If you have any questions regarding the completion of this form, please telephone (758) 451-7126

Completed forms and associated documentation should be forwarded without delay to:

The Director

Financial Intelligence Authority

PO Box GM 959

Castries, Saint Lucia.

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| UNAUTHORISED DISCLOSURE OF THIS INFORMATION TO THE SUBJECT OR ANY OTHER PERSON IS A CRIMINAL OFFENCE WHICH CARRIES A PENALTY OF UP TO XCD 250,000 OR IMPRISONMENT OF UP TO TEN YEARS |